

Day : Wednesday

Date: 5/16/2007

Time: 12:45:09

PALM INTRANET

## Application Number Information

Application Number: 10/553459

Assignments

Filing or 371(c) Date: 00/00/0000 eDan

Effective Date: 10/17/2005

Application Received: 10/17/2005

Patent Number:

Issue Date: 00/00/0000

Date of Abandonment: 00/00/0000

Attorney Docket Number: 3802-165-27  
NATL

Examiner Number: 00000 /

Group Art Unit: 1635

IFW Madras

Class/Subclass: 424/093.100

Lost Case: NO

Interference Number:

Unmatched Petition: YES

L&amp;R Code: Secrecy Code:1

Third Level Review: NO

Secrecy Order: NO

Status: 19 /APPLICATION UNDERGOING PREEXAM PROCESSING Status Date: 10/26/2005

Confirmation Number: 6977

Oral Hearing: NO

Title of Invention: FLAP ENDONUCLEASE 1 (FEN 1) REGULATORY SEQUENCES AND  
USES THEREOF

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location
----------	---------------	---------------	---------------	----------------	---------------	----------

Appln  
Info

Contents

Petition Info

Atty/Agent Info

Continuity/Reexam

Foreign Data

Search Another: Application#

Search

or Patent#

Search

PCT / /

Search

or PG PUBS #

Search

Attorney Docket #

Search

Bar Code #

Search

To go back use Back button on your browser toolbar.

Back to PALM | [ASSIGNMENT](#) | [OASIS](#) | Home pageAdjustment date: 05/22/2007 MKAYPAGH  
10/24/2005 GFREY1 00000109 10553459

01 FC:2631	-150.00	OP
02 FC:2633	-100.00	OP
03 FC:2641	-50.00	OP
04 FC:2615	-300.00	OP

Adjustment date: 05/22/2007 MKAYPAGH  
11/24/2006 LLANDGRA 00000060 071896 10553459  
01 FC:2617 65.00 CRAdjustment date: 05/22/2007 MKAYPAGH  
11/24/2006 LLANDGRA 00000060 071896 10553459  
02 FC:2251 60.00 CR

05/22/2007 MKAYPAGH 00000074 071896 10553459

01 FC:1631	300.00	OP
02 FC:1641	100.00	OP
03 FC:1633	200.00	OP
04 FC:1617	130.00	DA

05/22/2007 MKAYPAGH 00000074 071896 10553459

05 FC:1251	120.00	DA
------------	--------	----



RECEIVED  
CENTRAL FAX CENTER

MAY 16 2007

IAP12 Rec'd PCT/PTO 16 MAY 2007

DLA Piper US LLP  
153 Townsend Street, Suite 800  
San Francisco, California 94107-1957  
www.dlapiper.com

Linda R. Judge  
linda.judge@dlapiper.com  
T 415.836.2586

**FAX TRANSMISSION COVER SHEET**

Date: \_\_\_\_\_

<u>To</u>	<u>Telephone</u>	<u>Fax Number</u>
Attn: Group Art Unit 1835 United States Patent and Trademark Office		571-273-8300
From: Linda R. Judge 415-836-2586		Client-Matter Number: 306229-327
Re: U.S. Application No.: 10/553,459 Entitled: FLAP ENDONUCLEASE 1 (FEN1) REGULATORY SEQUENCES AND USES THEREOF Filing Date: April 15, 2004 Inventor: Hallenbeck, et al. Art Unit: 1635 Examiner: To be assigned Attorney Docket No.: 3802-165-27 NATL		
Pages: <b>-4-</b> (including this form)		Originals: will not follow
If there is a problem with this transmission, please call DLA Piper Office Services at 415.836.2500, ext. 6104		

**Message:**

Please find the following papers included in this filing:

1. Transmittal;
2. Change in Entity Status; and
3. Payment of Fees Deficiency.

Thank you.

**CONFIDENTIALITY NOTICE**

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

(Form Rev. 8/02/04)

SANF1370867.1

RECEIVED  
CENTRAL FAX CENTER

MAY 16 2007

<b>TRANSMITTAL FORM</b> <small>(To be used for all correspondence after initial filing)</small>	Application No.	10/553,459
	Filing Date	April 15, 2004
	First Named Inventor	Hallenbeck, et al.
	Group Art Unit	1635
	Examiner Name	To be assigned
Total Number of Pages in this Submission: <b>3</b>	Attorney Docket No.	3802-165-27 NATL (306229-327)


  

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input checked="" type="checkbox"/> Change in Entity Status <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Supplemental Information Disclosure Statement (IDS) <input type="checkbox"/> Substitute PTO Form 1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input checked="" type="checkbox"/> Payment of Fees Deficiency <input type="checkbox"/> Combined Revocation and Power of Attorney and 37 C.F.R. §3.73(b) and 3.71 Statements and Request for Change of Attorney Docket Number <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After-Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s): <input type="checkbox"/> Return Receipt Postcard

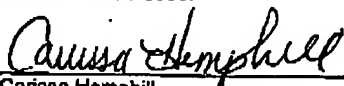
<b>REMARKS:</b>			
Please address all correspondence to Customer Number 29585			
The Director is hereby authorized to charge any fees that may be required in connection with the papers submitted herewith that have been inadvertently omitted, specifically including any extension fees that may be required under 37 CFR § 1.136(a), to Deposit Account No. 07-1896 (Charge No. 3802-165-27 NATL (306229-327))			

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Signature			Date	5/16/07	
Name	Linda R. Judge	Reg. No.	42,702	Telephone	415-836-2586
Address	153 Townsend St., Suite 800, San Francisco, CA 94107			Facsimile	415-836-2501

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being filed with the United States Patent and Trademark Office by facsimile transmission on the date indicated below to facsimile No. 571-273-8300.

Date: May 16, 2007
  
 Carissa Hemphill

(Form Rev. 04/09/07)

SANF1370865.1

RECEIVED  
CENTRAL FAX CENTER

MAY 16 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hallenbeck, et al.	Docket No:	3802-165-27 NATL (306229-327)
Application No.:	10/553,459	Confirmation No.:	6977
Filed:	April 15, 2004	Group Art Unit:	1635
For:	FLAP ENDONUCLEASE 1 (FEN1) REGULATORY SEQUENCES AND USES THEREOF	Examiner:	To be assigned

## CHANGE IN ENTITY STATUS

**Mail Stop Amendment**  
**by facsimile to 571-273-8300**  
U. S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby notifies the Patent Office that it is no longer entitled to status as a small entity, and that the claim for small entity status as set forth in the verified statement filed in the above application is hereby withdrawn.

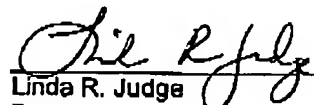
Applicant's change in status occurred on **July 23, 2003**, and Applicant's failure to notify the Patent Office at the time the first maintenance fee was paid was an error made in good faith by Applicant.

Respectfully submitted,

Date:

5/16/07

Customer No. 29585  
DLA PIPER US LLP  
Telephone: 415-836-2500  
Facsimile: 415-836-2501

  
Linda R. Judge  
Reg. No. 42,702

RECEIVED  
CENTRAL FAX CENTER

MAY 16 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hallenbeck, et al.	Docket No:	3802-165-27 NATL (306229-327)
Application No.:	10/553,459	Confirmation No.:	6977
Filed:	April 15, 2004	Group Art Unit:	1635
For:	FLAP ENDONUCLEASE 1 (FEN1) REGULATORY SEQUENCES AND USES THEREOF	Examiner:	To be assigned

**PAYMENT OF FEES DEFICIENCY**

Mail Stop Amendment  
by facsimile to 571-273-8300  
U. S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant believes that it owes a deficiency for payment of fees in the amount of **\$855.00** based on the following calculation of the deficiency owed:

Type of Fee Erroneously Paid	Small Entity Fee Paid and Date	Current Fee (large entity)	Surcharge	Deficiency Owed
Utility Filing Fee	\$300.00; 10/17/05	\$600.00	\$130.00	\$430.00
Excess Claims Fees	\$300.00; 10/17/05	\$600.00		\$300.00
Extension of Time (Response to Missing Parts)	\$125.00	250.00		\$125.00

**Total Deficiency Due    \$855.00**

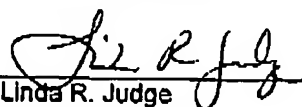
Please charge DLA Piper US LLP Deposit Account No. 07-1896 (3802-165-27 NATL) in the amount of **\$855.00** to cover the deficiency amount and required surcharges. The Commissioner is authorized to charge any additional fees or credit any overpayment that may be due by the filing of the paper to this same deposit account.

Respectfully submitted,

Date:

5/16/07

Customer No. 29585  
DLA PIPER US LLP  
Telephone: 415-836-2500  
Facsimile: 415-836-2501

  
Linda R. Judge  
Reg. No. 42,702

## Transaction List

Search by

☐ Accounting Date:

Operator ID:

(MMDDYY)

Accounting Date

☒ Name/Number:

10553459

Starts:

Ends:

☐ Attny Docket No:☐ Deposit Account No.:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
-----------------	-------------	----------	---------	----------	----	--------	-------------	----------

03/12/2007	INTEPAS	739	SALE	8021	A	40.00	10553459	071896
02/12/2007	SBASHEIR	1	SALE	1463	A	200.00	10553459	071896
12/12/2006	INTEPAS	317	SALE	8021	A	40.00	10553459	071896
11/24/2006	LLANDGRA	196	SALE	2251	A	60.00	10553459	071896
11/24/2006	LLANDGRA	195	SALE	2617	A	65.00	10553459	071896
08/22/2006	SAHMED1	14	RP	9204	A	-150.00	10553459	501442
08/22/2006	SAHMED1	13	ADJ	1206	A	-150.00	10553459	
10/24/2005	GFREY1	464	SALE	1206	R	150.00	10553459	
10/24/2005	GFREY1	463	SALE	2615	A	300.00	10553459	
10/24/2005	GFREY1	462	SALE	2641	A	50.00	10553459	
10/24/2005	GFREY1	461	SALE	2633	A	100.00	10553459	

Adjustment Detail

Authorization Detail



PCT

Change small entity  
to Large